The sign **PATENT** 

Attorney Docket No. IMMR-023/03US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application David ALEXANDER et al.

Serial No.:

10/657,079

Examiner:

Unassigned

Filed:

1 3 2004

September 9, 2003

Art Unit:

3739

Confirmation No.: 9176

For:

INTERFACE DEVICE AND METHOD FOR INTERFACING INSTRUMENTS

TO MEDICAL PROCEDURE SIMULATION SYSTEMS

U.S. Patent and Trademark Office 220 20<sup>th</sup> Street S. Customer Window Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[X]	In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
[]	In accordance with 37 C.F.R. §1.97(c), also enclosed is:
	[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
	[] a statement as specified in 37 C.F.R. §1.97(e).
[]	In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
[]	Check No in the amount of \$ for the total fee is attached.
[]	A return receipt postcard is also enclosed.
[]	Please charge \$ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

Application Serial No.: 10/657,079 Attorney Docket No.: IMMR-023/03US Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: July 13, 2004

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656 Tel: (703) 456-8000

Tel: (703) 456-8000 Fax: (703) 456-8100

211582 v1/RE 4J9@01!.DOC Respectfully submitted,

**COOLEY GODWARD LLP** 

By:

Erik B. Milch Reg. No. 42,887

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Fire application of David ALEXANDER et al.

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# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §\$1.97 and 1.98.

- [x] Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 (1 of 2) is provided on the enclosed CD.
- [x] No copies of the publications listed on the attached Form PTO/SB/08A (2 of 2) are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 09/237,969, filed January 27, 1999, to which the above-identified application claims priority under 35 U.S.C. §120.
- [] No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- [] Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. and mailed on \_\_\_.
- [] Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

Application Serial No.: 10/657,079 Attorney Docket No.: IMMR-023/03US Page 2

[]	Enclosed is a co	opy of a non-En	glish publica	tion(s)	English langua	age
	publication	(copy enclosed)	claims prior	rity from this	s non-English	publication.

- [] Enclosed is an explanation of non-English publication(s) \_\_\_ for which an English translation is not available.
- [] Enclosed is an English translation of non-English publication(s) \_\_\_cited in the attached Form PTO/SB/08A.
- [] Enclosed is a copy of pending U.S. Patent Application Serial No.

This Information Disclosure Statement is filed within any one of the following time periods:

- [] within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- [] within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- [x] before the mailing date of a first office action on the merits; or
- [] before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: July 13, 2004

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656 Tel: (703) 456-8000

Tel: (703) 456-8000 Fax: (703) 456-8100 Respectfully submitted,

**COOLEY GODWARD LLP** 

By:

Erik B. Milch Reg. No. 42,887

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PTO/SB/08A (10-01)
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Substitu	te for form 1449A/PTO		Complete if Known
		Application Number	10/657,079
INF	DRMATION DISCLOSURE	Filing Date	September 9, 2003
STA	TEMENT BY APPLICANT	First Named Inventor	David Alexander
		Art Unit	3739
	(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet	1 of 3	Attorney Docket Number	IMMR023/03US

			U.S. PATENT DOCU	MENTS	
Examiner	Cite No.1	Document Number  Number Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,422,941	7/23/2002	Thorner et al.	
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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Pass	Where Relevant Passages or Relevant Figures Appear
		JP	H2-185278		7/19/1990	Taito Corporation	
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		JP	H7-24147		1/27/1995	Sega Corporation	
		JP	H5-192449		8/3/1993	Taito Corporation	

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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#### Substitute for form 1449A/PTO Complete if Known **Application Number** 10/657,079 INFORMATION DISCLOSURE Filing Date September 9, 2003 STATEMENT BY APPLICANT **First Named Inventor** David Alexander 3739 Art Unit (use as many sheets as necessary) Examiner Name Unassigned 3 2 IMMR023/03US Sheet Attorney Docket Number

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		PATRICK, "Design, Construction, and Testing of a Fingertip Tactile Display for Interaction with Virtual and Remote Environments," <i>Master of Science Thesis</i> , MiT, Aug. 1990, archived Nov. 8, 1990.
		CALDER, "Design of A Force-Feedback Touch-Introducing Actuator For Teleoperator Robot Control," Bachelor of Science Thesis, MIT, May 1983, archived June 23, 1983.
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Substitute (FRADE 449A/PTO Complete if Known **Application Number** 10/657.079 INFORMATION DISCLOSURE September 9, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor David Alexander 3739 Art Unit (use as many sheets as necessary) **Examiner Name** Unassigned IMMR023/03US Sheet Attorney Docket Number

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
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Examiner Signature	/Timothy Musselman/	Date Considered	06/14/2010

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known **Application Number** 10/657,079 **INFORMATION DISCLOSURE** Filing Date September 9, 2003 STATEMENT BY APPLICANT **First Named Inventor** David Alexander 3739 Art Unit (use as many sheets as necessary) **Examiner Name** Unassigned Sheet 12 IMMR023/03US Attorney Docket Number

Exam		U.S. Patent Document					Date of Publication of	
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO	,	Complete if Known
	Application Number	10/657,079
INFORMATION DISCLOSURE	Filing Date	September 9, 2003
STATEMENT BY APPLICANT	First Named Inventor	David Alexander
	Art Unit	3739
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 2 of 12	Attorney Docket Number	IMMR023/03US

Exam iner	Cite No.1	U.S. Patent Document  Number Kind Code <sup>2</sup> (if known)			Date of Publication of
Initial s*				Name of Patentee or Applicant of Cited Document	Cited Document MM- DD-YYYY
		5,721,566		Rosenberg et al.	02-24-1998
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PTO/SB/08A (10-01)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Sheet 3 of 12	Attorney Docket Number	IMMR023/03US

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nitial *	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM- DD-YYYY	
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Substitute for form 1449A/PTO		Complete if Known
	Application Number	10/657,079
INFORMATION DISCLOSURE	Filing Date	September 9, 2003
STATEMENT BY APPLICANT	First Named Inventor	David Alexander
	Art Unit	3739
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 4 of 12	Attorney Docket Number	IMMR023/03US

Exam		U.S. Patent Document  Number Kind Code² (if known)			Date of Publication of
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INFO	DRMATION		DISCLOSURE	Filing Date	September 9, 2003
STA	TEMENT B	Y A	PPLICANT	First Named Inventor	David Alexander
				Art Unit	3739
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			U.S. PA	TENT DOCUMENTS		
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Exam	Cite No.1		Foreign Patent Docume	nt	Name of Patentee or Applicant of Cited	Date of Publication	
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INFORMATION DISCLOSURE	Filing Date	September 9, 2003
STATEMENT BY APPLICANT	First Named Inventor	David Alexander
	Art Unit	3739
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 7 of 12	Attorney Docket Number	IMMR023/03US

Г	Cir	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	Т <sup>6</sup>
Exam iner Initial s*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
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STA	STATEMENT BY APPLICANT		First Named Inventor	David Alexander	
			Art Unit	3739	
	(use as many shee	ts as necessary)	Examiner Name	Unassigned	
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Examiner	Date	
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STATEMENT BY APPLICANT		First Named Inventor	David Alexander	
			Art Unit	3739
(use as many sheets as necessary)			Examiner Name	Unassigned
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